

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Southern District of New York



RICHARD ARJUN KAUL, MD  
DAVID B. BASCH, MD

Plaintiff(s)

v.

INTERCONTINENTAL EXCHANGE, ET AL

Defendant(s)

Civil Action No. 23-CV-2016

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address)

INTERCONTINENTAL EXCHANGE  
11 WALL STREET, NEW YORK, NY 10005

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

RICHARD ARJUN KAUL, 24 WASHINGTON VALLEY ROAD, MORRISTOWN, NJ  
07960

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date: 03/29/2023

Signature of Clerk or Deputy Clerk

2023 MAY -2 PM 2:01

RECEIVED  
CLERK OF COURT  
SOUTHERN DISTRICT OF NEW YORK

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Civil Action No. 23-CV-2016

## PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

This summons for (name of individual and title, if any) Intercontinental Exchange  
 was received by me on (date) 4/28/2023

☒ I personally served the summons on the individual at (place) Dan Mollin  
Intercontinental Exchange on (date) 4/28/23; or 11 Wall St, NY, NY

☐ I left the summons at the individual's residence or usual place of abode with (name) \_\_\_\_\_  
 \_\_\_\_\_, a person of suitable age and discretion who resides there,  
 on (date) \_\_\_\_\_, and mailed a copy to the individual's last known address; or

☐ I served the summons on (name of individual) \_\_\_\_\_, who is  
 designated by law to accept service of process on behalf of (name of organization) \_\_\_\_\_  
 on (date) \_\_\_\_\_; or

☐ I returned the summons unexecuted because \_\_\_\_\_; or

☐ Other (specify): \_\_\_\_\_

My fees are \$ 40.00 for travel and \$ 140.00 for services, for a total of \$ 180.00

I declare under penalty of perjury that this information is true.

Date: 05-01-2023

Doreen Bettens  
 Server's signature

Doreen Bettens  
 Printed name and title  
69 East New Street  
Rockaway, NJ 07866  
 Server's address

OFFICIAL SEAL  
 PATRICK Z. BALDWIN  
 Notary Public-New Jersey  
 My Commission Expires  
 November 13, 2024  
 ID 50116851

Additional information regarding attempted service, etc:

May 01, 2023